

**OFFICE OF THE ATTORNEY GENERAL  
CONSUMER PROTECTION DIVISION  
200 ST. PAUL PLACE, 16<sup>th</sup> FLOOR  
BALTIMORE, MD 21202**

**Maryland Vehicle Protection Product Warrantor Registration Application and Checklist**

1. Name of Business  
Trade Name  
Number and Street  
City , State, Zip  
Telephone E-mail
  
2. Contact /Administrator  
Name  
Number and Street  
City , State, Zip  
Telephone E-mail
  
3. Resident Agent (Please No P.O. Box Address)  
Name  
Number and Street  
City , State, Zip  
Telephone E-mail
  
4. Officer who is directly responsible for the Vehicle Protection Product Business  
Name  
Title  
Number and Street  
City , State, Zip  
Telephone E-mail
  
5. The Vehicle Protection Product Warrantor has met the Financial Accountability requirement of § 14-4A-07 of the Commercial Law Article by:
  - A. \_\_\_\_\_ Maintaining a net worth of at least \$50,000,000.00. I have attached:
    - i. \_\_\_\_\_ a copy of the most recent form 10-K or 20-F that you or your parent company have filed with the United States Securities and Exchange Commission;
    - or
    - ii. \_\_\_\_\_ the audited financial statement of the business demonstrating a net worth of \$50,000,000.00.
  
  - B. \_\_\_\_\_ Maintaining a Warranty Liability Reimbursement Insurance Policy (please attach a copy of the policy.)

6. If an insurance policy is used, please provide the following information

Name of Insurer  
Contact  
Number and Street  
City , State, Zip  
Telephone  
E-mail  
Policy number  
Amount of Coverage

CERTIFICATION OF CORPORATE OFFICER, LLC MEMBER  
LIMITED LIABILITY PARTNER, OR GENERAL PARTNER

- I certify that I have read and will abide by §14-4A- 01 through §14- 4A-14 of the Commercial Law Article of the Annotated Code of Maryland, the Vehicle Protection Products Act.
- I agree to keep accurate accounts, books, and records and make these and all records maintained under the Act available to the Division upon request including: 1.) A copy of each Vehicle Protection Product Warranty, 2.) The names and addresses of each Warranty Holder, and 3.) The date, amount, and description of each receipt, claim, and expenditure. I also agree to keep said accounts, books, and records for at least 2 years following the expiration of the Vehicle Protection Product Warranty. If the business is discontinued, I will maintain accounts, books, and records until I can prove to the Division the discharge of all obligations to any warranty holder in the state.
- I understand that I am responsible to renew the registration annually and shall file any updates within (30) days of any change.

I hereby certify under penalties of perjury that the information contained in this application is true and correct.

Signature \_\_\_\_\_ Date: \_\_\_\_\_

Name (Printed) \_\_\_\_\_

Title: \_\_\_\_\_

**Application Checklist** - Please use this list to assist you in submitting all required information.

\_\_\_\_\_ Vehicle Protection Product Registration Application

\_\_\_\_\_ Certificate of Good Standing Status

A copy of your Certificate of Good Standing showing that your company is authorized to conduct business in Maryland must be submitted for a Corporation or Limited Liability Company that provides Vehicle Protection Product Warranties in Maryland. This Certificate may be obtained from the Maryland Department of Assessments and Taxation or requested via the Internet at [www.dat.state.md.us](http://www.dat.state.md.us)

\_\_\_\_\_ Financial Security Documents

The Financial Security Requirements Described in Section 4 of the Act is satisfied upon proof of insurance under a Warranty Reimbursement Insurance Policy or financial records that demonstrate the net worth of the business or stockholder equity at or above \$50,000,000. Please indicate from the choices below which items you are submitting to satisfy this requirement.

\_\_\_\_\_ Warranty Reimbursement Insurance Policy - If the Warranty Reimbursement Insurance Policy option is used, the applicant must attach a copy of the policy issued by an insurer authorized to do business in the state of Maryland. The policy must contain the statutorily required language provided in Attachment **A**.

\_\_\_\_\_ \$50,000,000 Business Net Worth or Stockholder Equity - If the \$50,000,000 net worth option is used one of the following items must be attached:

\_\_\_\_\_ A copy of the most recent form 10-K or form 20-F filed by the Warrantor or the Warrantor's parent company\* with the United States Securities and Exchange Commission.

\_\_\_\_\_ A copy of the Warrantor's or the Warrantor's parent company's\* audited financial statement compiled within the last year that shows a net worth or stockholders' equity of not less than \$50,000,000.

\*If the parent corporation's form 10-K, 20-F or audited financial statements are used, the parent company must have on file with the Division a guarantee relating to the warranties issued by the Warrantor in the state of Maryland. A board resolution must be attached to the application acknowledging the guarantee and its continued existence for the year to which the application pertains.

\_\_\_\_\_ Consumer Warranties

A sample copy of **each type** of warranty offered must be included. Each warranty must contain the statutorily required language provided in Attachment **B**.

\_\_\_\_\_ Fees

A check or money order in the amount of \$500.00 must be attached to the application for the total amount due. Please make checks payable to the Office of the Attorney General. Please mail to address on page 1 of this form.